Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

USPTO IAP20 AUG 31 2006 PATENT & TRADEMARK OFFICE

ATTY DOCKET NO.	SERIAL NO.
292116US0PCT	10/581,525
APPLICANT: Satoshi EBATA, et al.	
FILING DATE: June 2, 2006	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/R.H./	AA	7 015 293	03/21/2006	OSHIMA et al.			
	AB	6 790 914	09/14/2004	KANAMORI et al.			
	AC	6 844 403	01/18/2005	OSHIMA et al.			
	AD	6 992 154	01/31/2006	OSHIMA et al.			
	AE	6 911 507	06/28/2005	OHKITA et al.			
	AF	6 639 021	10/28/2003	OSHIMA et al.			
	AG	5 912 313	06/15/1999	MCINTOSH, III et al.			
	AH	6 031 058	02/29/2000	MCINTOSH, III et al.			
	AI	6 455 650	09/24/2002	LIPIAN et al.			
	AJ	3 330 815	07/11/1967	MCKEON et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	AK	00 20472	04/13/2000	WO (English abstract only)		NO
	AL	2003 160620	06/03/2003	JP (English abstract only and equivalent of US 2003 119961)		NO
	AM	9 508649	09/02/1997	JP (with English abstract and equivalent of US 5 468 819, US 5 569 730, US 5 518 81, and US 5 741 869)		NO
	AN	2004 076495	09/10/2004	WO (English abstract only and equivalent of US 2004 229157)		NO
	AO	2002 327024	11/15/2002	JP (with English abstract and equivalent of US 6 639 021)		NO
	AP	2003 48918	02/21/2003	JP (with English abstract and equivalent of US 6 639 021)		NO
	AQ	5 262821	10/12/1993	JP (with English abstract and equivalent of US 5 629 398, US 5 648 443, and US 5 693 728)		NO
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AS	LIPIAN et al., "ADDITION POLYMERIZATION OF NORBORNENE-TYPE MONOMERS. HIGH ACTIVITY CATIONIC ALLYL PALLADIUM CATALYSTS", MACROMOLECULES, Vol. 35, pages 8969-8977, 2002
	AT	MATHEW et al., "(N^3-ALLYL) PALLADIUM (II) AND PALLADIUM (II) NITRILE CATALYSTS FOR THE ADDITION POLYMERIZATION OF NORBORNENE DERIVATIVES WITH FUNCTIONAL GROUPS", MACROMOLECULES, Vol. 29, pages 2755-2763, 1996
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	AV	MELIA et al., "PD (II)-CATALYZED ADDITION POLYMERIZATIONS OF STRAINED POLYCYCLIC OLEFINS", MACROMOL. SYMP., Vol. 89, pages 433-442, 1995
	AW	BERCHTOLD et al., "NICKEL(II) AND PALLADIUM(II) COMPLEXES WITH α-DIOXIME LIGANDS AS CATALYSTS FOR THE VINYL POLYMERIZATION OF NORBORNENE IN COMBINATION WITH METHYLALUMINOXANE, TRIS (PENTAFLUOROPHENYL)BORANE, OR TRIETHYLALUMINUM COCATALYST SYSTEMS", JOURNAL OF POLYMER SCIENCE: PART A: POLYMER CHEMISTRY, Vol. 40, pages 3604-3614, 2002
	AX	HASELWANDER et al., "VINYLIC POLYMERIZATION OF NORBORNENE BY PD(II)-CATALYSIS IN THE PRESENCE OF ETHYLENE", MACROMOL. RAPID COMMUN., Vol. 18, pages 689-697, 1997
	AY	HENNIS et al., "NOVEL, EFFICIENT, PALLADIUM-BASED SYSTEM FOR THE POLYMERIZATION OF NORBORNENE DERIVATIVES: SCOPE AND MECHANISM", ORGANOMETALLICS, Vol. 20, pages 2802-2812, 2001

☐ Additional References sheet(s) attached

Examiner /Richard Huhn/ Date Considered 10/10/2008

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Docket No.: 292116US0PCT	Serial No.: 10/581,525
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Satoshi EBATA, et al.	
	Filing Date: June 2, 2006	Group:

LIST OF RELATED CASES

Examiner Initial	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App. Publication No.	Inventor or Applicant
/R.H./	292116US0PCT*	10/581,525	06/02/06		EBATA, et al.
	285118US0PCT	10/568,423	02/14/06		OSHIMA, et al.
	262312US0PCT	7,015,293	03/21/06		OSHIMA, et al.
	231247US0	6,790,914	09/14/04		KANAMORI, et al.
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	237067US0PCT	6,911,507	06/28/05		OHKITA, et al.
	214509US0	6,639,021	10/28/03		OSHIMA, et al.

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*Present Application; listed for information
NFO/ach/kch